



# Standard Operating Procedure Research Governance

Title:	Withdrawal of Consent – Human Tissue			
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## Revision Log

Previous Version number	Date of Review/Modification	Reason for Review/Modification	New Version Number
V 1.0	10 April 2017	Review in response to Human Tissue Authority's Codes of Practice and Standards update.	V 2.0
V 2.0	17/05/2019	Periodic review. Logo and name of Chair updated on cover page. Web links updated.	V 3.0
V 3.0	05/11/2021	Periodic review. Web links updated.	V 4.0
V 4.0	20/02/2024	Updated to include commercial companies operating under the University's HTA Licence	V 5.0

#### 1. Purpose

This Standard Operating Procedure (SOP) describes the process to be followed if a research participant wishes to withdraw his or her consent for use of human tissue samples donated for research purposes, as defined by the Human Tissue Act 2004 (HT Act).

#### 2. Introduction

The HT Act came into force on the 1 September 2006 and provides a framework for regulation of research involving the removal, storage, use and disposal of human tissue. In order to meet the requirements of the HT Act all relevant material used for research purposes must be stored with appropriate consent stored and entered on the Queen's Online (QOL) Tissue Register to ensure traceability. This SOP should be read in conjunction with the Human Tissue Authority (HTA) Code of Practice and Stnadards E Research and Code of Practice A Guiding Principles and the Fundamental Principle of Consent.

#### 3. Scope

This SOP applies to all members of University staff; both academic and support staff, as defined by Statute 1 and includes honorary staff and students who are involved in the receipt, tracking and storage of relevant material in the areas under the HTA research licences at Queen's University Belfast.

This SOP also applies to all commercial companies operating on University premises who are involved in the receipt, labelling, tracking and storage of relevant material in the areas under the HTA research licences at Queen's University Belfast.

Note: Human tissue samples collected as part of a clinical trial of an investigative medicinal product (CTIMP) or samples acquired and stored within the Northern Ireland Biobank (NIB) will have their own procedures and requirements and should not follow this SOP.

#### 4. Responsibilities

#### 4.1 Designated Individual

The Designated Individual (DI) is responsible for ensuring that appropriate procedures are in place for the withdrawal of consent.

#### 4.2 Person Designated

The Person Designated (PD) is responsible for providing advice and guidance on procedures in their area as required.

#### 4.3 Chief Investigator or Custodian

The Chief Investigator (CI) or custodian of the material is responsible for ensuring all procedures are followed regarding withdrawal of consent and that the participant is aware of withdrawal procedures as appropriate.

#### 4.4 Research and Support Staff or Commercial Company Staff

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Researchers and/or other support staff, including commercial company staff operating under QUB's HTA Licences, delegated the tasks in relation to withdrawal of consent must ensure those tasks are carried out in accordance with this SOP and that appropriate records are maintained.

#### 5. Procedure

#### 5.1 General - Consent

Consent is the fundamental principle of the HT Act. Appropriate consent must be in place for the removal, storage and use of human tissue for research purposes. Consent is also required for the storage of human tissue (including hair and nails) with the intention of analysing DNA. The Research Governance SOP Informed Consent for Research (QUB-RGEI-004) details the processes for obtaining informed consent.

There are exceptions to the consent requirements under the HT Act. Consent for research is not required if:

- (i) The material is an 'existing holding' (ie collected prior to the 1st September 2006);
- (ii) The material is imported:
- (iii) The material is from a living person <u>and</u> the researcher is unable to identify the person <u>and</u> the specific research project is approved by a recognised Research Ethics Committee (REC) (ie ORECNI or the equivalent statutory ethics committee). A University REC is not considered to be a recognised REC.

It should be noted that although consent is not required for imported material, mechanisms must be in place to provide assurance that the tissue has been obtained with valid consent.

#### 5.2 Withdrawal of Consent

Participants may choose to withdraw consent verbally or in writing.

When seeking consent, it must be made clear to the participants that they can withdraw their consent for the use of their donated samples and that a decision to withdraw will not affect their clinical care. Participants should be made aware of the practicalities of withdrawal of consent and that it may not be possible to withdraw consent for use of human tissue after a certain point, for example, if the samples have already been used and analysed.

It must also be made clear to participants that if they withdraw from a study, they have a choice regarding whether or not they wish to allow samples donated prior to the date of withdrawal to remain available for use in the research study or whether they wish all samples to be disposed of. The notes section of the Tissue Register must be updated to clearly specify if a participant has withdrawn from a study but has consented to the retention of any samples previously donated.

Where a participant withdraws consent for samples to be used for research purposes, the samples cannot be retained. All stored samples and their derivatives must be disposed of in accordance with the SOP Disposal of Human Tissue (QUB-

HTA-002). The Tissue Register must be updated to reflect disposal and the reason why (ie participant withdrawal and disposal in accordance with the participant's wishes).

The participant consent form should be retained for audit purposes and clearly marked to indicate that the consent for use of tissue samples has been withdrawn and signed and dated by the individual recording the withdrawal of consent.

#### 5.3 Acknowledgement for Withdrawal of Consent

Where consent for retention of samples has been withdrawn, researchers should send an acknowledgment to the participant confirming sample disposal. A copy of this acknowledgement must be maintained in the study master file. An example letter is attached as Appendix 1.

It is acknowledged that for samples obtained by Commercial Companies an alternative template may be used.

# 5.4 Withdrawal of consent for samples provided by third parties where the donor is not identifiable

Relevant material provided by a third party must only be transferred to the University under appropriate contractual arrangements. Where the provider of the material contacts the Cl/custodian/researcher to inform that donor consent has been withdrawn and instructs to dispose of the material then the material must be disposed. The disposal must be documented on the Tissue Register as well as in appropriate laboratory records. Correspondence in relation to the instruction to dispose and confirmation of the same to the provider should be retained in the study file.

#### 6. References

Human Tissue Authority Code of –Practice and Standards E Research <a href="https://www.hta.gov.uk/codes">https://www.hta.gov.uk/codes</a> (last access February 2024)

Human Tissue Authority Code of Practice A Guiding Principles and the Fundamental Principle of Consent

https://www.hta.gov.uk/guidance-professionals/codes-practice (last access February 2024)

Human Tissue Authority definition of relevant material

https://www.hta.gov.uk/guidance-professionals/hta-legislation/relevant-material-under-human-tissue-act-2004 (last accessed February 2024)

#### 7. Appendices

Appendix 1 Letter to confirm donor withdrawal of consent and sample disposal

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#### Example letter to confirm donor withdrawal of consent and sample disposal



Dear (insert participant name)

I am writing to inform you that we have acted as per your request to withdraw your consent of use of your tissue samples. All samples donated during the study titled 'insert study title' have been removed and disposed in accordance with the Human Tissue Act procedures and the samples will not be used for research purposes.

This will not affect your care in any way, now or in the future.

Please do not hesitate to contact me if you have any further queries.

Yours sincerely,

Chief Investigator